



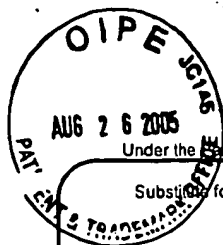
IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Chang-Ping Lee et al.
APPLICATION NO.: 10/074,996
FILED: February 12, 2002
TITLE: Method and Apparatus for Securing Electronic Data
EXAMINER: Unknown
GROUP ART UNIT: 2131
ATTY.DKT.NO.: PA2905US

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List of Co-Pending Patent Applications That May Be Directed
Towards Similar Subject Matter

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
<i>u</i>	10/076,254	Alain Rossmann	Method and Architecture for Providing Pervasive Security to Digital Assets	February 12, 2002
Examiner's Signature:	<i>Orcl</i>			Date <i>9/12/05</i>



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

Sheet 1 of 6

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
M		US- 4,757,533	07-12-1988	Allen et al.	
		US- 5,052,040	09-24-1991	Preston et al.	
		US- 5,058,164	10-15-1991	Elmer et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
M		EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
		EP 0 809 170 A1	11-26-1997	NCR International Inc.		
		EP 0 950 941 A2	10-20-1999	Fujitsu Limited		
		EP 1 130 492 A2	09-05-2001	Sony Corporation		
M		EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		
		GB 2 328 047 A	02-10-1999	Microsoft Corporation		

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STATEMENT BY APPLICANT**

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Sheet **2**

of

6**Complete if Known**

Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (if known)			
<i>W</i>		US- 5,787,169	07-28-1998	Eldridge et al.	
		US- 5,787,173	07-28-1998	Seheidt et al.	
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
<i>W</i>		JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
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<i>W</i>		EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		

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Considered*9/28/05*

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Application Number	10/074,996
Filing Date	February 12, 2002
First Named Inventor	Chang-Ping Lee
Art Unit	2131
Examiner Name	Unknown
Attorney Docket Number	PA2905US

Sheet **3** of **6****U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		US- 6,542,608 B2	04-01-2003	Scheidt et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
CU		WO 00/56028	09-21-2000	Texar Software Corp.		

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Sheet 4 of 6

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Examiner Name	Unknown
Attorney Docket Number	PA2905US

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		Number-Kind Code ² (if known)			
CU		US- 2003/0115146 A1	06-19-2003	Lee et al.	
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W		US- 5,715,403	02-03-1998	Stefik	
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		First Named Inventor	Chang-Ping Lee		
		Art Unit	2131		
		Examiner Name	Unknown		
Sheet	6	of	6	Attorney Docket Number	PA2905US

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W		"Expiration Mechanism for Chipcards," IBM Technical Disclosure Bulletin, October 1, 2001, UK.	
CU		McDANIEL et al., "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999.	

Examiner Signature		Date Considered	9/28/05
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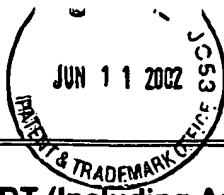
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Form PTO-1449 (Modified)	Atty Docket No.: SS-008	Application No.: 10/074,996
List of Patents and Publications Statement (Use several sheets if necessary)		Applicant: Chang-Ping Lee Filing Date: 02/12/2002

REFERENCE DESIGNATION	U.S. PATENT DOCUMENTS					
Examiner Initials	Document No.	Date	Patentee	Class	Sub-Class	Filing date if appropriate
	A.	4,799,258	Jan. 17, 1989	Davies	380/21	02/07/1985
	B.	5,276,735	Jan. 4, 1994	Boebert et al.	380/21	04/17/1992
	C.	5,499,297	Mar. 12, 1996	Boebert	380/23	12/20/1994
	D.	5,502,766	Mar. 26, 1996	Boebert et al.	380/25	10/26/1993
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	G.	5,862,325	Jan. 19, 1999	Reed et al.	395/200.31	09/27/1996
	H.	5,933,498	Aug. 3, 1999	Schneck et al.	380/4	11/05/1997
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	J.	6,088,717	Jul. 11, 2000	Reed et al.	709/201	08/31/1998
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	L.	6,098,056	Aug. 1, 2000	Rusnak et al.	705/75	11/24/1997
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner Initials		Publication Details
u	U	"Inside Encrypting File System", Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V0.	"Inside Encrypting File System", Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
	V1.	"Security with Encrypting File System", from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001.
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to the applicant.